Application Form: Systematic Transfer Plan (STP), Systematic Withdrawal Plan (SWP)

Investor must read the instructions section before completing this form. First time investors need to submit this form along with the main application form

MIRAE ASSET Mutual Fund

Name & Broker Code / ARN / RIA Code	Sub Broker / Agent ARN Code	Sub Agent Code	EUIN*	ISC Date Time Stamp Reference No.
ARN-97751			E113632	

Declaration for "Execution Only" Transaction (where EUIN box is left blank). Please refer Instruction (E) for complete details on EUIN. I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Signature of 1st Applicant / Guardian / Authorised Signatory /PoA/Karta	Signature of 2nd Applicant / Guardian / Authorised Signatory /PoA	Signature of 3rd Applicant / Guardian / Authorised Signatory /PoA

Please Read All Instructions as given in KIM, to help you complete the Application Form Correctly.

1. EXISTING UNIT HOLDER INFORMATION (The details in our records under the folio number mentioned will apply for this application.)

Folio No.: **CKYC Identification No. (KIN)**

Name of 1st Unit Holder:

AADHAAR No.: Aadhaar Copy (Please ✓) ◯ Enclosed

2. SYSTEMATIC TRANSFER PLAN (STP) (For instructions please refer the next page)

From Scheme	◯ Regular Plan ◯ Direct Plan	◯ Growth	◯ Div. Payout ◯ Div. Reinvestment	◯ Div frequency* .....
To Scheme	◯ Regular Plan ◯ Direct Plan	◯ Growth (Default)	◯ Div. Payout ◯ Div. Reinvestment (Default)	◯ Div frequency* .....

*Dividend frequency is applicable only for Mirae Asset Cash Management Fund & Mirae Asset Savings Fund.

Please (✓) STP Frequency from the below options (Transactions will be triggered after 5 days from the date of submission)

◯ Daily (Monday to Friday) ◯ Weekly (Every Wednesday) ◯ Fortnightly (Alternate Wednesday) ◯ Monthly ◯ Quarterly

For Daily, Weekly, Fortnightly, Monthly & Quarterly option minimum 5 transfers of ₹ 1000 each.

Please (✓) STP date: ◯ 1st ◯ 10th (Default) ◯ 15th ◯ 21st ◯ 28th

Amount per transfer (₹ In Figures): **STP Start Date from:** D D M M Y Y Y Y **To:** D D M M Y Y Y Y

3. SYSTEMATIC WITHDRAWAL PLAN (SWP) (For instructions please refer the next page)

Scheme	◯ Regular Plan ◯ Direct Plan	◯ Growth	◯ Div. Payout ◯ Div. Reinvestment	◯ Div frequency* .....

*Dividend frequency is applicable only for Mirae Asset Cash Management Fund & Mirae Asset Savings Fund.

Please (✓) SWP Frequency from the below options (Transactions will be triggered after 5 days from the date of submission) ◯ Monthly ◯ Quarterly

Withdrawal Option: Please (✓) ◯ Fixed (Min. 12 Months / 4 Quarters of ₹ 1000 and above) Or ◯ Appreciation withdrawal (1st of each Month / Quarter) (Min. 12 Months / 4 Quarters of ₹ 1000 and above) **Amount Per Withdrawal ₹:**

Withdrawal Date: Please (✓) ◯ 1st ◯ 10th (Default) ◯ 15th ◯ 21st ◯ 28th **SWP Start Date from:** D D M M Y Y Y Y **To:** D D M M Y Y Y Y

4. DECLARATION AND SIGNATURES / THUMB IMPRESSION OF APPLICANT(s) [Refer Instructions 2(e) of KIM]

To The Trustees, Mirae Asset Mutual Fund (The Fund) - (A) Having read and understood the contents of the SID of the Scheme(s), I/We hereby apply for units of the scheme(s) and agree to abide by the terms, conditions, rules and regulations governing the scheme. (B) I/We hereby declare that the amount invested in the scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any provisions of the Income Tax Act, Anti Money Laundering Laws or any other applicable laws enacted by the Government of India from time to time. (C) Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of Mirae Asset Mutual Fund. (D) The information given in / with this application form is true and correct and further agrees to furnish additional information sought by Mirae Asset Global Investments (India) Limited (AMC)/ Fund and undertake to update the information/details with the AMC / Fund/Registrars and Transfer Agent (RTA) from time to time. I/We hereby confirm that the AMC/Fund shall have the right to share my information and other details with the regulatory and government authorities as and when needed. I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions. (E) I/We further declare that **"The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. (F) I/We hereby confirm that I/We have not been offered/ communicated any indicative portfolio and/ or any indicative yield by the Fund/AMC/its distributor for this investment.** I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. **(G) Applicable to Investors availing the online facility:-** I/We have read, understood and shall be bound by the terms & conditions of the PIN agreement available on the AMC website for transacting online. **(H) Applicable for NRIs only:-** I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription and for all additional purchases have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/ Ordinary Account. **(I) Applicable to Foreign Resident's Residing in India:-** I/ We confirm that I/We satisfy the Residency test as prescribed under FEMA provisions. I/We further declare that I/We am/are "Person Resident in India" and are allowed to invest into the Scheme as per the said FEMA regulations and other applicable laws and regulations. **(J) I / We confirm that I am / We are not United States person(s) under the laws of United States or resident(s) of Canada. In case of change to this status, I / We shall notify the AMC, in which event the AMC reserves the right to redeem my / our investments in the Scheme(s). (K) Aadhaar:** I/We hereby provide my/our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (ii) updating my/our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I/We hereby provide my/our consent for sharing/disclosing of my Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my/our folios.

Signature of 1st Applicant / Guardian / Authorised Signatory /PoA/Karta	Signature of 2nd Applicant / Guardian / Authorised Signatory /PoA	Signature of 3rd Applicant / Guardian / Authorised Signatory /PoA

11-2017

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ACKNOWLEDGEMENT SLIP

Received Application from _____ **Folio No.:** _____ **as per details below:**

Scheme Name and Plan	Details	Date & Stamp of Collection Centre / ISC
	◯ SWP Amount (₹) _____ ◯ STP Amount (₹) _____	

Cheque / DD is subject to realisation